



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sanjay Charati et al.)
Serial No.: 10/803,694) Group Art Unit: 1711
Filed: March 18, 2004)
For: ELECTRICALLY CONDUCTIVE) Examiner: Boykin, Terressa
COMPOSITIONS AND METHOD)
OF MANUFACTURE THEREOF)

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

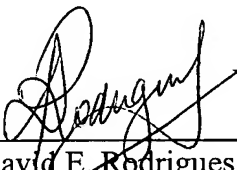
Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: 
David E. Rodrigues
Registration No. 50,604

Date: February 17, 2005
Customer No.: 23413
Telephone: (860) 286-2929

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

135140-2

In Re Application Of: Sanjay Charati et al.

FEB 22 2005

Application No.

10/803,694

Filing Date

March 18, 2004

Examiner

Boykin, Terressa

Customer No.

23413

Group Art Unit

1711

Confirmation No.

7808

Title: **ELECTRICALLY CONDUCTIVE COMPOSITIONS AND METHOD OF MANUFACTURE THEREOF**

Address to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
135140-2

In Re Application: Sanjay Charati et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/803,694	March 18, 2004	Boykin, Terressa	23413	1711	7808

Title: **ELECTRICALLY CONDUCTIVE COMPOSITIONS AND METHOD OF MANUFACTURE THEREOF**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-2341 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____)

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

February 17, 2005

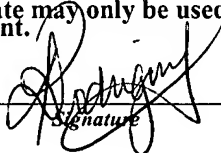
(Date)

Signature of Person Mailing Correspondence

Barbara Davidson

Typed or Printed Name of Person Mailing Certificate

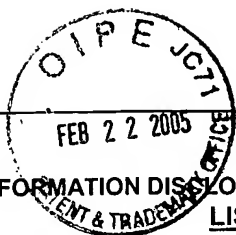
*This certificate may only be used if paying by deposit account.


Signature

Dated: February 17, 2005

David E. Rodrigues
Registration No. 50,604
Customer No. 23413
(860) 286-2929

CC:



PTO-1449 INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)	Attorney's Docket Number 135140-2	Serial Number 10/803,694
	Name of Applicant Charati et al.	
	Filing Date 03/18/2004	Group 1711

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	NAME	Class	Subclass	Filing Date If Appropriate
	5,284,903	02/08/1994	Minnick			
	US 6,528,572 B1	03/04/2003	Patel et al.			
	US 6,689,835 B2	02/10/2004	Amarasekera et al.			
	US 6,734,262 B2	05/11/2004	Patel			
	US 6,761,870 B1	07/13/2004	Smalley et al.			
	US 6,790,425 B1	09/14/2004	Smalley et al.			
	US 2002/0004028 A1	01/10/2002	Margrave et al.			
	US 2002/0091619 A1	07/11/2002	Yang			
	US 2002/0102193 A1	08/01/2002	Smalley et al.			
	US 2002/0102194 A1	08/01/2002	Smalley et al.			
	US 2002/0102201 A1	08/01/2002	Colbert et al.			
	US 2002/0102203 A1	08/01/2002	Smalley et al.			
	US 2002/0127162 A1	09/12/2002	Smalley et al.			
	US 2003/0012722 A1	01/16/2003	Liu			
	US 2003/0083421 A1	05/01/2003	Kumar et al.			
	US 2003/0106998 A1	06/12/2003	Colbert et al.			
	US 2003/0170166 A1	09/11/2003	Smalley et al.			
	US 2003/0181568 A1	09/25/2003	Amarasekera et al.			
	US 2003/0216502 A1	11/20/2003	McElrath et al.			
	US 2004/0009346 A1	01/15/2004	Jang et al.			
	US 2004/0021133 A1	02/05/2004	Nagpal et al.			
	US 2004/0028859 A1	02/12/2004	LeGrande et al.			
	US 2004/0106998 A1	06/03/2004	Ferree			
	US 2004/0186220 A1	09/23/2004	Smalley et al.			
	US 2004/0211942 A1	10/28/2004	Clark et al.			
	US 2004/0232389 A1	11/25/2004	Elkovitch			
	US 2005/0029498 A1	02/10/2005	Elkovitch			

EXAMINER	DATE CONSIDERED
-----------------	------------------------

* **EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

PTO-1449		Attorney's Docket Number 135140-2		Serial Number 10/803,694					
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <u>LIST OF ITEMS</u> (Use several sheets if necessary)		Name of Applicant Charati et al.							
		Filing Date 03/18/2004		Group 1711					
FOREIGN PATENT DOCUMENTS									
Examiner Initial	Document Number	Date	COUNTRY	Class	Subclass <table border="1"> <tr> <td colspan="2">TRANSLATION</td> </tr> <tr> <td>YES</td> <td>NO</td> </tr> </table>	TRANSLATION		YES	NO
TRANSLATION									
YES	NO								
	WO 97/15935	01.05.97	World		NO				
OTHER INFORMATION (including author, title, date, pertinent)									
	Cotton and Wilkinson, <i>Advanced Inorganic Chemistry</i> , Publisher: John Wiley & Sons 6th edition, Publication date March 1999, page 76								
	J.G. Smith, et al. "Carbon Nanotube/Space Durable Polymer Nanocomposite Films for Electrostatic Charge Dissipation" NASA Langley Research Center; 34th International SAMPE Technical Conference, Baltimore, Maryland, November 4-7, 2002								
	*International Search Report PCT/US 2004/012146 International Filing Date 20/04/2004								
	Application Serial Number 10/675108 Filed Sept. 30, 2003, Ghosh et al., ELECTRICALLY CONDUCTIVE COMPOSITIONS, METHODS OF MANUFACTURE THEREOF AND ARTICLES DERIVED FROM SUCH COMPOSITIONS (available in IFW)								
	Application Serial Number 10/674,096, filed 09-29-2003, Mark Elkovitch, CONDUCTIVE THERMOPLASTIC COMPOSITIONS, METHODS OF MANUFACTURE AND ARTICLES DERIVED FROM SUCH COMPOSITIONS (Available in IFW)								
	Application Serial Number 10/608976 Filed 06/27/2003, Rodrigues, ELECTRICALLY CONDUCTIVE COMPOSITIONS AND METHOD OF MANUFACTURE THEREOF (available in IFW)								
	Application Serial Number 09/787,473; filed 03-16-2001 Jonh Margrave CHEMICAL DERIVATIZATION OF SINGLE-WALL CARBON NANOTUBES TO FACILITATE SOLVATION THEREOF, AND USE OF DERIVATIZED NANOTUBES (available in IFW)								
EXAMINER			DATE CONSIDERED						
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.									